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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/836,884	
	Filing Date	April 17, 2001	
	First Named Inventor	Denis	
	Group Art Unit	2811	
	Examiner Name		
Total Number of Pages in This Submission	23	Attorney Docket Number	H-303

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copies of References A1-A163, B1-B73 and C1-C17 Postcard
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	David J. Cole	
Signature	<i>David J. Cole</i>	
Date	March 5, 2002	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Denis et al.
Serial No. 09/836,884
Filed: April 17, 2001
For: PROCESS FOR FABRICATING THIN FILM
TRANSISTORS
Art Unit: 2811

Cambridge, Massachusetts
March 5, 2002

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington DC 20231

Sir:

The following information is submitted in accordance with 37 CFR 1.97 and 1.98. The references which it is desired to make of record are listed on the accompanying Form PTO-1449 and copies are enclosed.

All of the references filed herewith are in the English language, or a English translation or Abstract is provided, and according, except for this one document, it is believed that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Office is respectfully advised that there exists a corresponding International Application No. PCT/US01/12429. A copy of the International Search Report for this application is enclosed, and all the references mentioned therein are included on the accompanying Form PTO-1449, except that, for the convenience of the Examiner, references to foreign patents have been replaced by references to the corresponding U.S. patents when available, as set out on the last two pages of the International Search Report.

In accordance with 37 CFR 1.97(b)(3), this Information Disclosure Statement is submitted prior to the issue of an Office Action on this application, and accordingly it is believed that no fee is due in connection with this Statement. If,

Denis et al.
Serial No. 09/836,884
Information Disclosure Statement
Page 2

however, any fee is due, the Office is authorized to charge the necessary fee to Deposit Account No. 501162 of E Ink Corporation.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "David J. Cole".

David J. Cole
Registration No. 29629

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Cambridge MA 02138-1002

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Facsimile (617) 499-6200
E-mail dcole@eink.com



Form PTO-1449

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney's Docket No.

H-303

Serial No.

09/836,884

Applicant

Denis et al.

Filing Date

April 17, 2001

Group Art Unit

1744

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,239,896	5/29/01	Ikeda	359	240	5/28/99
	A2	6,177,921	1/23/01	Comiskey et al.	345	107	8/27/98
	A3	6,172,798	1/9/01	Albert et al.	359	296	5/15/00
	A4	6,130,774	10/10/00	Albert et al.	359	296	4/27/99
	A5	6,130,773	10/10/00	Jacobson et al.	359	296	11/10/98
	A6	6,124,851	9/26/00	Jacobson	345	206	7/20/95
	A7	6,120,839	9/19/00	Comiskey et al.	427	213.3	8/27/98
	A8	6,120,588	9/19/00	Jacobson	106	31.16	9/23/97
	A9	6,118,426	9/12/00	Albert et al.	345	107	8/27/98
	A10	6,091,382	7/18/00	Shioya et al.	345	76	12/26/96
	A11	6,088,319	7/11/00	Gudesen	369	97	12/23/97
	A12	6,084,850	7/4/00	Gudesen et al.	369	275.1	1/28/98
	A13	6,067,185	5/23/00	Albert et al.	359	296	8/27/98
	A14	6,064,091	5/16/00	Deane et al.	257	347	12/10/98
	A15	6,055,180	4/25/00	Gudesen et al.	365	175	2/12/99
	A16	6,052,354	4/18/00	Gudesen et al.	369	112	12/23/97
	A17	6,045,955	4/4/00	Vincent	430	45	10/14/98
	A18	6,027,958	2/22/00	Vu et al.	438	110	7/11/96
	A19	6,017,584	1/25/00	Albert et al.	427	313.3	8/27/98
	A20	6,013,335	1/11/00	Hirata et al.	427	553	11/10/97
	A21	6,005,817	12/21/99	Gudesen et al.	365	215	1/8/98
	A22	6,005,791	12/21/99	Gudesen et al.	365	114	3/2/98
	A23	5,980,763	11/9/99	Young	216	23	5/30/97
	A24	5,972,493	10/26/99	Iwasaki et al.	428	323	8/8/95
	A25	5,969,376	10/19/99	Bao	257	40	8/23/96

EXAMINER

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Attorney's Docket No. H-303	Serial No. 09/836,884
	Applicant Denis et al.	
	Filing Date April 17, 2001	Group Art Unit 1744

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A26	5,961,804	10/5/99	Jacobson et al.	204	606	3/18/97
	A27	5,936,259	8/10/99	Katz et al.	257	40	10/16/97
	A28	5,930,026	7/27/99	Jacobson et al.	359	256	10/25/96
	A29	5,904,545	5/18/99	Smith et al.	438	455	6/7/95
	A30	5,898,416	4/27/99	Kuijk et al.	345	90	3/6/97
	A31	5,894,367	4/13/99	Sheridon	359	623	10/30/97
	A32	5,892,504	4/6/99	Knapp	345	204	1/26/94
	A33	5,892,244	4/6/99	Tanaka et al.	257	40	4/10/97
	A34	5,854,380	12/29/98	Seto et al.	528	353	6/5/97
	A35	5,821,137	10/13/98	Wakai et al.	438	163	
	A36	5,786,875	7/28/98	Brader et al.	349	20	
	A37	5,783,856	7/21/98	Smith et al.	257	618	
	A38	5,744,283	4/28/98	Spierings et al.	430	313	
	A39	5,738,977	4/14/98	Van Der Sluis-Van Der Voort et al.	430	313	
	A40	5,737,115	4/7/98	Mackinlay et al.	359	296	
	A41	5,731,116	3/24/98	Matsuo et al.	430	56	
	A42	5,725,935	3/10/98	Rajan	428	195	
	A43	5,718,996	2/17/98	Iijima et al.	430	56	
	A44	5,717,514	2/10/98	Sheridon	359	296	
	A45	5,709,979	1/20/98	Casson et al.	430	311	
	A46	5,707,738	1/13/98	Hou	428	402	
	A47	5,705,424	1/6/98	Zavracky et al.	437	86	
	A48	5,688,584	11/18/97	Casson et al.	428	209	
	A49	5,684,501	11/4/97	Knapp et al.	345	94	
	A50	5,650,872	7/22/97	Saxe et al.	359	296	

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Form PTO-1449 INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Attorney's Docket No. <div style="text-align: center;">H-303</div></td> <td style="width: 50%;">Serial No. <div style="text-align: center;">09/836,884</div></td> </tr> <tr> <td colspan="2">Applicant <div style="text-align: center;">Denis et al.</div></td> </tr> <tr> <td>Filing Date <div style="text-align: center;">April 17, 2001</div></td> <td>Group Art Unit <div style="text-align: center;">1744</div></td> </tr> </table>	Attorney's Docket No. <div style="text-align: center;">H-303</div>	Serial No. <div style="text-align: center;">09/836,884</div>	Applicant <div style="text-align: center;">Denis et al.</div>		Filing Date <div style="text-align: center;">April 17, 2001</div>	Group Art Unit <div style="text-align: center;">1744</div>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A51	5,650,199	7/22/97	Chang et al.	427	33	
	A52	5,627,561	5/6/97	Laspina et al.	345	107	
	A53	5,625,199	4/29/97	Baumbach et al.	257	40	
	A54	5,623,585	4/22/97	Matias et al.	395	115	
	A55	5,614,340	3/25/97	Bugner et al.	430	41	
	A56	5,583,675	12/10/96	Yamada et al.	349	84	
	A57	5,575,554	11/19/96	Guritz	362	103	
	A58	5,573,711	11/12/96	Hou et al.	252	572	
	A59	5,569,610	10/29/96	Zhang et al.	437	21	
	A60	5,565,885	10/15/96	Tamanoi	345	100	
	A61	5,545,291	8/13/96	Smith et al.	156	655.1	
	A62	5,543,589	8/6/96	Buchana et al.	178	18.03	
	A63	5,508,720	4/16/96	DiSanto et al.	345	169	
	A64	5,500,537	3/19/96	Tsumura et al.	257	40	
	A65	5,467,107	11/14/95	DiSanto et al.	345	107	
	A66	5,463,492	10/31/95	Check III	359	296	
	A67	5,463,491	10/31/95	Check III	359	296	
	A68	5,460,688	10/24/95	DiSanto et al.	216	5	
	A69	5,412,398	5/2/95	DiSanto et al.	345	107	
	A70	5,403,772	4/4/95	Zhang et al.	437	101	
	A71	5,402,145	3/28/95	DiSanto et al.	345	107	
	A72	5,389,945	2/14/95	Sheridon	345	85	
	A73	5,383,008	1/17/95	Sheridon	355	256	
	A74	5,362,671	11/8/94	Zavracky	437	81	
	A75	5,345,251	9/6/94	DiSanto et al.	345	107	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A76	5,315,312	5/24/94	DiSanto et al.	345	107	
	A77	5,304,439	4/19/94	DiSanto et al.	430	20	
	A78	5,303,073	4/12/94	Shirota et al.	359	51	
	A79	5,302,235	4/12/94	DiSanto et al.	156	643	
	A80	5,296,974	3/33/94	Tada et al.	359	885	
	A81	5,293,528	3/8/94	DiSanto et al.	345	107	
	A82	5,279,694	1/18/94	DiSanto et al.	156	275.5	
	A83	5,276,438	1/4/94	DiSanto et al.	345	107	
	A84	5,270,843	12/14/93	Wang	359	52	
	A85	5,266,937	11/30/93	DiSanto et al.	345	107	
	A86	5,254,981	10/19/93	DiSanto et al.	345	107	
	A87	5,250,938	10/5/93	DiSanto et al.	345	107	
	A88	5,247,290	9/21/93	DiSanto et al.	345	107	
	A89	5,234,541	8/10/93	Shannon et al.	216	13	
	A90	5,223,823	6/29/93	DiSanto et al.	340	787	
	A91	5,223,115	6/29/93	DiSanto et al.	204	299R	
	A92	5,220,316	6/15/93	Kazan	340	784	
	A93	5,216,416	6/1/93	DiSanto et al.	340	787	
	A94	5,206,749	4/27/93	Zavracky	359	59	
	A95	5,194,852	3/16/93	More et al.	340	712	
	A96	5,187,609	2/16/93	DiSanto et al.	359	296	
	A97	5,174,882	12/29/92	DiSanto et al.	204	299R	
	A98	5,161,007	11/3/92	Takanashi et al.	358	43	
	A99	5,148,300	9/15/92	Mizushima et al.	359	76	
	A100	5,128,785	7/7/92	Yoshimoto et al.	359	58	

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Attorney's Docket No. H-303	Serial No. 09/836,884
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Filing Date April 17, 2001	Group Art Unit 1744

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A101	5,128,226	7/7/92	Hung	430	58	
	A102	5,119,218	6/2/92	Yoshimoto et al.	349	41	
	A103	5,105,185	4/14/92	Nakanowatari et al.	340	784	
	A104	5,077,157	12/31/91	DiSanto et al.	430	20	
	A105	5,070,326	12/3/91	Yoshimoto et al.	340	719	
	A106	5,066,946	11/19/91	DiSanto et al.	340	787	
	A107	5,066,105	11/19/91	Yoshimoto et al.	359	58	
	A108	5,053,763	10/1/91	DiSanto et al.	340	787	
	A109	5,041,824	8/20/91	DiSanto et al.	340	787	
	A110	5,028,841	7/2/91	DiSanto et al.	313	505	
	A111	5,009,490	4/23/91	Kuono et al.	350	342	
	A112	5,008,590	4/16/91	Huisman et al.	313	500	
	A113	4,954,612	9/4/90	Nomura et al.	528	353	
	A114	4,947,159	8/7/90	DiSanto et al.	340	787	
	A115	4,931,019	6/5/90	Park	434	409	
	A116	4,892,607	1/9/90	DiSanto et al.	156	275.7	
	A117	4,883,561	11/28/89	Gmitter et al.	156	633	
	A118	4,850,919	7/25/89	DiSanto et al.	445	24	
	A119	4,833,464	5/23/89	DiSanto et al.	340	793	
	A120	4,828,967	5/9/89	Mase et al.	430	315	
	A121	4,776,675	10/11/88	Takaochi et al.	350	339	
	A122	4,758,875	7/19/88	Fujisaki et al.	357	72	
	A123	4,746,917	5/24/88	DiSanto et al.	340	787	
	A124	4,742,345	5/3/88	DiSanto et al.	340	787	
	A125	4,741,604	5/3/88	Kornfeld	350	362	

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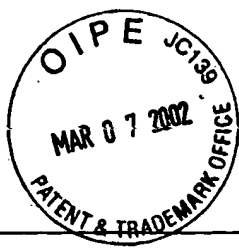
Attorney's Docket No. H-303	Serial No. 09/836,884
Applicant Denis et al.	
Filing Date April 17, 2001	Group Art Unit 1744

U.S. PATENT DOCUMENTS


EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A126	4,686,524	8/11/87	White	340	787	
	A127	4,655,897	4/7/87	DiSanto et al.	204	299R	
	A128	4,648,956	3/10/87	Marshall et al.	204	299EC	
	A129	4,643,528	2/17/87	Bell, Jr.	350	334	
	A130	4,598,960	7/8/86	DiSanto et al.	339	17M	
	A131	4,543,306	9/24/85	Dubois et al.	429	194	
	A132	4,522,472	6/11/85	Liebert et al.	350	362	
	A133	4,502,934	5/5/85	Gazard et al.	204	242	
	A134	4,450,440	5/22/84	White	340	753	
	A135	4,439,507	3/27/84	Pan et al.	430	59	
	A136	4,430,648	2/7/84	Togashi et al.	340	718	
	A137	4,418,346	11/29/83	Batchelder	340	787	
	A138	4,390,403	6/28/83	Batchelder	204	180R	
	A139	4,324,456	4/13/82	Dalisa	350	362	
	A140	4,311,361	1/19/82	Somlyody	350	267	
	A141	4,305,807	12/15/81	Somlyody	204	299R	
	A142	4,273,672	6/16/81	Vassiliades	252	316	
	A143	4,261,653	4/14/81	Goodrich	350	362	
	A144	4,218,302	8/19/80	Dalisa et al.	204	299R	
	A145	4,203,106	5/13/80	Dalisa et al.	340	787	
	A146	4,149,149	4/10/79	Miki et al.	340	753	
	A147	4,123,346	10/31/78	Ploix	204	299R	
	A148	4,088,395	5/9/78	Giglia	350	357	
	A149	4,071,430	1/31/78	Liebert	204	299R	
	A150	4,068,927	1/17/78	White	350	160R	

EXAMINER	DATE CONSIDERED
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<p style="text-align: center;">  Form PTO-1449 INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> </p>	Attorney's Docket No.	Serial No.
	H-303	09/836,884
	Applicant	
	Denis et al.	
Filing Date	Group Art Unit	
April 17, 2001	1744	

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ENGLISH ABSTRACT ONLY	TRANSLATION
	B1	1 024 540A2	8/2/00	EP			No	
	B2	0 924 551A1	6/23/99	EP			No	
	B3	0 709 713A2	5/1/96	EP			No	
	B4	0 618 715A1		EP			No	
	B5	0 575 475B1		EP			No	
	B6	0 525 852A1		EP			No	
	B7	0 443 571A2	8/28/91	EP			No	
	B8	0 404 545A2		EP			No	
	B9	0 363 030A2		EP			No	
	B10	0 361 420A2		EP			No	
	B11	0 344 367A1		EP			No	
	B12	2 306 229A	4/30/97	GB			No	
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	B17	05-061421A	3/12/93	JP			No	Yes
	B18	WO 99/67678	12/29/99	PCT			No	
	B19	WO 99/65012	12/16/99	PCT			No	
	B20	WO 99/65011	12/16/99	PCT			No	
	B21	WO 99/63527	12/9/99	PCT			No	
	B22	WO 99/59101	11/18/99	PCT			No	
	B23	WO 99/56171	11/4/99	PCT			No	
	B24	WO 99/53373	10/21/99	PCT			No	
	B25	WO 99/53371	10/21/99	PCT			No	

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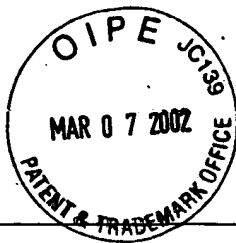
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ENGLISH ABSTRACT ONLY	TRANSLATION
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	B27	WO 99/45582	9/10/99	PCT			No	
	B28	WO 99/45416	9/10/99	PCT			No	
	B29	WO 99/44229	9/2/99	PCT			No	
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	B31	WO 99/20682	4/29/99	PCT			No	
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	B35	WO 98/41899	9/24/98	PCT			No	
	B36	WO 98/41898	9/24/98	PCT			No	
	B37	WO 98/19208	5/7/98	PCT			No	
	B38	WO 98/03896	1/29/98	PCT			No	
	B39	WO 97/04398	2/6/97	PCT			No	
	B40	WO 95/10107	4/13/95	PCT			No	
	B41	WO 95/07527	3/16/95	PCT			No	
	B42	WO 95/06307	3/2/95	PCT			No	
	B43	WO 95/05622	2/23/95	PCT			No	
	B44	WO 93/07608	4/15/93	PCT			No	
	B45	WO 93/05425	3/18/93	PCT			No	
	B46	WO 93/02443	2/4/93	PCT			No	
	B47	WO 01/17041	3/8/01	PCT			No	
	B48	WO 01/17040	3/8/01	PCT			No	
	B49	WO 01/17029	3/8/01	PCT			No	
	B50	WO 01/08242	2/1/01	PCT			No	

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	B51	WO 01/08241	2/1/01	PCT			No	
	B52	WO 01/07961	2/1/01	PCT			No	
	B53	WO 01/02899	1/11/01	PCT			No	
	B54	WO 00/67327	11/9/00	PCT			No	
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	B56	WO 00/60410	10/12/00	PCT			No	
	B57	WO 00/59625	10/12/00	PCT			No	
	B58	WO 00/49593	8/24/00	PCT			Yes	
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	B60	WO 00/38001	6/29/00	PCT			No	
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	B63	WO 00/36560	6/22/00	PCT			No	
	B64	WO 00/36465	6/22/00	PCT			No	
	B65	WO 00/26761	5/11/00	PCT			No	
	B66	WO 00/22051	4/20/00	PCT			No	
	B67	WO 00/20923	4/13/00	PCT			No	
	B68	WO 00/20922	4/13/00	PCT			No	
	B69	WO 00/20921	4/13/00	PCT			No	
	B70	WO 00/05704	2/3/00	PCT			No	
	B71	WO 00/05312	2/3/00	PCT			No	
	B72	WO 00/03349	1/20/00	PCT			No	
	B73	WO 00/03291	1/20/00	PCT			No	

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	C1	Bohnke et al., "Polymer-Based Solid Electrochromic Cell for Matrix-Addressable Display Devices." J. Electrochem. Soc., 138, 3612 (1991)	
	C2	Chiang, A., et al., "A High Speed Electrophoretic Matrix Display", SID 80 Digest (1980), 114	
	C3	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)	
	C4	Dalisa, A.L., "Electrophoretic Display Technology", IEEE Trans. Electron Dev., ED-24, 827 (1977)	
	C5	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131	
	C6	Gleskova et al., IEEE Electron Device Letters, 20(9), 473 (1999)	
	C7	Gutcho, M.H., "Microcapsules and Microencapsulation Techniques, Noyes Data Corp., Park Ridge NJ, (1976), pages 65-130, 178-193, 279-343	
	C8	Kornfeld, A Defect-Tolerant Active-Matrix Electrophoretic Display, SID Digest, 1984, page 142	
	C9	Ma et al., Applied Physics Letters, 74(18), 2661 (1999))	
	C10	Moesner, F.M., et al., "Devices for Particle Handling by an AC Electric Field", IEEE, 1995, page 66	
	C11	Nakamura, E., et al., "Development of Electrophoretic Display Using Microcapsulated Suspension," SID 98 Digest (1998), page 1014	
	C12	Ota, I., et al., "Developments in Electrophoretic Displays", Proceedings of the SID, 18, 243 (1977)	
	C13	Ota, I., et al., "Electrophoretic display devices", Laser 75 Optoelectronics Conference Proceedings, 145 (1975)	
	C14	Ota, I., et al., "Electrophoretic Image Display (EPID) Panel", Proceedings of the IEEE, 61, 832 (1973)	
	C15	Ridley, B.A. et al., "All-Inorganic Field Effect Transistors by Printing," Science, 286, 746 (1999)	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C16

Shiffman, R.R., et al., "An Electrophoretic Image Display with Internal NMOS Address Logic and Display Drivers," Proceedings of the SID, 1984, vol. 25, 105 (1984)

C17

Vandegaer, J.E. (ed.), "Microencapsulation Processes and Applications", pp. v-x, 1-180 (Plenum Press, New York 1974)

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